



Attorney Docket No. 12407.0014; 2681/OJ069US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dan Raphaeli

Serial No.: 09/825,268

Art Unit: 2631

Confirmation No.: 2081

Filed: April 3, 2001

Examiner: Not yet known

For: EQUALIZER FOR COMMUNICATION OVER NOISY CHANNELS

TRANSMITTAL

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

RECEIVED  
OCT 08 2003  
Technology Center 2600

Sir:

1. We are transmitting herewith the attached papers for the above-identified patent application:
  - a) Supplemental Information Disclosure Statement
  - b) Return postcard
2. With respect to fees:
  - a) No fee is due.
  - b) The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-2057.
3. **CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** The undersigned hereby certifies that this Transmittal Letter and all attached papers as described above in paragraph 1 above are being deposited with sufficient postage as first class mail in an envelope addresses to Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on October 2, 2003.

Customer Number: **25937**

Respectfully submitted,

Howard Zaretsky,  
Reg. No. 38,669  
Attorney for Applicants

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8753 W Runion Dr  
Peoria AZ 85382-6412  
623.362.2585

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:**

The undersigned hereby certifies that this correspondence is being deposited with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450.

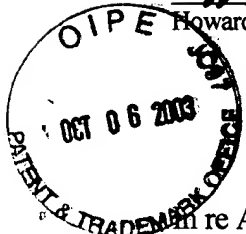
  
Howard Zaretsky

October 2, 2003

Date

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of: Dan Raphaeli

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Sir:

This supplemental information disclosure statement is submitted in accordance with 37 CFR §§ 1.97 and 1.98 and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application and any other application relying on the filing date of the above-identified application or cross referencing it as a related application.

This supplemental information disclosure statement should be considered, in accordance with 37 CFR 1.97 as it is being filed:

- ☐ A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national state of the above-identified international application.
- ☒ B. Before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- ☐ C. After (A) and (B) above but before the mailing date of a final rejection, a notice of allowance, or any other action that closes prosecution, and Applicants have made the necessary statement specified in § 1.97(e) or paid the fee set forth in § 1.17(p), as indicated below.

☐ D. After (A), (B) and (C) above but before payment of the issue fee, and Applicants have made the necessary statement specified in § 1.97(e) and the fee set forth in § 1.17(p), as indicated below.

☐ Statement under 37 CFR 1.97(e): Counsel states that, upon information and belief, each item of information listed in the supplemental information disclosure statement was (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this supplemental information disclosure statement; or (b) not cited a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in § 1.56(c) more than three months prior to the filing of this supplemental information disclosure statement.

☐ The fee set forth in § 1.17(p).

In accordance with 37 CFR 1.98, this supplemental information disclosure statement includes a list (Form PTO-1449) of all patents, publications or other information submitted for consideration by the office, either incorporated herein or as an attachment hereto. A copy of each document is attached, except as explained below.


Applicant identifies these documents by attaching hereto copies of the forms PTO-892 and PTO-1449 for the files of the prior application(s) or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with 37 CFR 1.98(d). Per 37 CFR 1.98(d) copies of these documents need not be filed in this application.

In accordance with 37 CFR §§ 1.97(g) and (h), the filing of this supplemental information disclosure statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in § 1.56(b), or that any cited document listed or attached is or constitutes prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

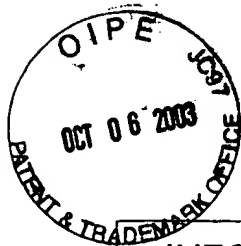
Early and favorable consideration is earnestly solicited.

Customer Number: 25937

Respectfully submitted,

  
Howard Zaretsky  
Reg. No. 38,669  
Attorney for Applicants

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U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE

**RECEIVED****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Form PTO-1449

Sheet 1 of 1

Serial No.	09/825,268
Filing Date	April 3, 2001
Applicant	Dan Raphaeli
Art Unit	2631
Examiner	Not yet assigned
Atty Docket No.	12407.0014

OCT 08 2003

Technology Center 2600

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Date	Name	Class	Subclass
	1	5,524,023	6/1996	Tsujimoto		
	2	5,946,351	8/1999	Ariya Visitakul et al.		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Date	Country	Name	T

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Author, title, date, page(s), etc.

Examiner Signature	Date Considered